



# UNIVERSITY COURT

12 December 2016

A meeting of the University Court will be held at 2pm on Monday 12 December 2016 in the Ustinov Room of the Bonar Hall.

Dr Christine Milburn  
Policy Officer (Corporate Governance)  
Academic & Corporate Governance  
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## AGENDA

*Notes: (1) Matters on which discussion is expected are included in Part A of the Agenda. Part B comprises other matters for report/approval. At the beginning of the meeting the Chairman will give members the opportunity to identify items in Part B on which they would wish to comment or ask questions.*

*(2) Items indicated as reserved business are to be discussed in closed session by members of Court and appropriate University officers (at the invitation of the Chair).*

*(3) Members should declare at the beginning of the meeting, or during the meeting if it becomes appropriate to do so, any pecuniary or other material interest they may have in any matter which is to be discussed by the Court. In cases of doubt, further advice may be obtained from the Secretary of the University (tel. 01382 384006).*

### 1. MINUTES

Minutes of the meeting on 24 October 2016 enclosed.

**A**

### 2. MATTERS ARISING

(1) Action Log

The Action Log for Court is enclosed.

**B**

(2) Higher Education Governance (Scotland) Act (Minute 3(1))

To note the election of Anne Anderson as the member of Court elected by the Non-teaching Staff for the period to 1 July 2017 in the first instance, and to consider her Committee membership for the 2016/17 academic year.

(3) Higher Education Governance (Scotland) Act (Minute 10)

To consider, and if so minded, ratify the decision taken at the meeting of the Court on 24 October to approve changes as set out in paper H of that meeting relating to:

- The Charter Sections 12 and 17;
- Statutes 6 (The Secretary), 9 (The Court), 10 (The Senatus), and 15 (Academic Council);
- Ordinance 27 (Academic Council); and
- the creation of new Ordinances 65 (the Chairperson of Court) and 66 (Nominations to the Court by the Trade Unions and the Students' Association).

Noting that the changes to the Charter and Statutes remain subject to the formal approval by, and any changes required by the Privy Council.

3. **CHAIRMAN'S REPORT**  
Report enclosed. C
4. **PRINCIPAL'S REPORT**  
Report enclosed. D
5. **STRATEGIC PRESENTATION**  
Presentation from the Vice-Principal (Academic Planning & Performance) and the Director of Human Resources & Organisational Development relating to Performance Management.

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*PART A – MATTERS ON WHICH DISCUSSION IS EXPECTED*

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6. **PEOPLE AND ORGANISATIONAL DEVELOPMENT COMMITTEE**  
Report enclosed of the Committee's meeting on 31 October 2016. E
7. **FINANCIAL STATEMENTS FOR THE YEAR ENDING 31 JULY 2016**
  - (1) Annual Report and Financial Statements  
Copy enclosed. F
  - (2) Letter of Representation  
Copy enclosed. G
  - (3) Annual Report from the Audit Committee  
Report enclosed. H
  - (4) Communication to Staff and Students of Financial Outcome post-FRS102  
Proposed communication enclosed. I

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*BREAK*

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8. **COMMITTEES**
  - (1) Finance & Policy Committee  
Report enclosed of the Committee's meeting on 14 November 2016 J

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| (2)  | <u>Audit Committee</u>  |   |
| (i)  | <u>Audit Committee Meeting</u><br>Report enclosed of the Committee's meeting on 28 November 2016.   | K |
| (ii) | <u>Institutional Strategic Risk Register</u><br>Risk Register for discussion and approval.  | L |
| (3)  | <u>Governance &amp; Nominations Committee</u><br>Report enclosed of the Committee's meeting on 14 November 2016.                                | M |
| (4)  | <u>Remuneration</u>   |   |
| (i)  | <u>Remuneration Committee</u><br>Report enclosed of the Committee's meeting on 21 November 2016.  | N |
| (ii) | <u>Senior Officers Remuneration Report</u><br>To consider a confidential summary which will be provided at the meeting.                         |   |
| 9.   | <b>UNIVERSITY STRATEGY TO 2022</b><br>Update enclosed.  | O |
| 10.  | <b>UNIVERSITY STRATEGY TO 2017: OVERVIEW OF KEY PERFORMANCE INDICATOR REPORTS</b><br>Overview provided from the Director of Strategic Planning. | P |
| 11.  | <b>WELFARE &amp; ETHICAL USE OF ANIMALS COMMITTEE</b><br>Report enclosed of the Committee's meeting on 4 October 2016.                          | Q |

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*PART B – OTHER MATTERS FOR REPORT OR APPROVAL*

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| 12. | <b>UNIVERSITY STRATEGY TO 2017: KEY PERFORMANCE INDICATOR REPORTS</b>   |    |
| (1) | <u>University Strategy to 2017: Annual KPI Report</u><br>Report enclosed.   | R1 |
| (2) | <u>University Strategy to 2017: Employability, Entrepreneurship and Enterprise KPI Report</u><br>Report enclosed.     | R2 |
| (3) | <u>University Strategy to 2017: Financial Sustainability KPI Report</u><br>Report enclosed.                           | R3 |
| (4) | <u>University Strategy to 2017: Wider Impact KPI Report</u><br>Report enclosed.                                       | R4 |
| 13. | <b>COMMUNICATIONS FROM THE SENATUS ACADEMICUS</b><br>Report enclosed of the meeting of the Senate on 12 October 2016. | S  |
| 14. | <b>BRANDING</b><br>Update enclosed.   | T  |

15. **STAFF COUNCIL**  
Report enclosed of the meeting of the Staff Council on 21 November 2016. **U**
16. **NINEWELLS CANCER CAMPAIGN**  
Annual report to Court enclosed. **V**
17. **DUSA CONSTITUTION**  
To approve changes to the Constitution as outlined. **W**
18. **SPORTS UNION CONSTITUTION**  
To approve changes to the Constitution relating to the elections. **X**
19. **STAFF**
- (1) Professorial and Grade 10 Appointments  
Paper enclosed. **Y**
- (2) Grievances, Appeals and Hearings  
To note the composition of two panels established in accordance with the authority delegated at the last meeting of Court to the University Secretary, in consultation with the Chair of Court.
- Statute 16 Grievance: Professor John Connell (Chair)  
Mr Andrew Richmond (Court)  
Professor Colin Reid (Senate)
- Academic & Research  
Promotion Procedure: Mr Jo Elliot (Chair) (Court)  
Professor Frank Sargent (Senate)  
Professor Margaret Smith (Senate)
- The University Secretary will, update the Court on these, and any other matters relating to Grievances, Appeals and Hearings as necessary and appropriate.
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20. **ANY OTHER BUSINESS**

Copies of the Discovery Days Programme will be provided at the meeting, and are available from <http://www.dundee.ac.uk/revealingresearch/newsandevents/discoverydays/>